

Subject: Table 7: Risk assessment scoring for consumer-facing sites

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Number	Question	Points	Score
1	How many certified species could be handled, displayed, sold or served at any 1 site at the same time?		
	More than 1	3	
	1	1	
2	Is there a possibility that certified and non-certified products of the same species, or similar-looking species, could be handled at any site at the same time?		
	Yes	4	
	No	1	
3	Will the label or identifier for certified products be applied by staff at each consumer-facing site (rather than at a central office or operations site)?		
	Yes	3	
	No	1	
4	Have there been 1 or more major non-conformities issued during the most recent audit?		
	Yes	5	
	No	1	
5	How many sites will be handling certified seafood?		
	3 or more	3	
	1-2	1	



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Number	Question	Points	Score
6	Does the organisation carry out annual (or more frequent) internal audits at all consumer-facing sites, which include testing the traceability of certified seafood?		
	No	0	
	Yes	-3	
7	Does the organisation have an electronic system that allows all volumes of certified seafood sold/served to final consumers to be reconciled against the volume of certified seafood purchased?		
	No	0	
	Yes	-2	
8	Does the organisation have other accredited certifications?		
	No	0	
	Yes – HACCP / ISO 9001 / ISO 22000 / GFSI-recognised standard	-2	
9	Country of operation score on Transparency International's latest corruption perception index (for latest scores see cpi.transparency.org). For organisations that have sites in multiple countries, use the lowest CPI score from the latest year.		
	Under 32	3	
	Between 32 and 62 inclusive	1	
	Above 62	0	
	Total		

Guidance Table 7

Fulfilling the Risk Factors in questions 6, 7 and 8 are not mandatory for CFO clients but will affect their risk scoring and the audit activities that will be carried out.