

Approved by: Managing Director



PSA

Document Title : ANNEX G

Document Code: W-PSA-

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[illegible]

25 ANNEX G - ASC SOCIAL AUDIT RISK ASSESSMENT

Normative

The CAB shall follow instructions in the ASC Social Audit Risk Assessment Tool and outcomes for planning audits. The tool is excel-based and available on the ASC website.

Auditors shall use input from the Desk Review to feed into this tool.

All input fields in the calculator are indicated in green text. These fields accept either numerical or text-selections (indicated by orange backgrounds) from drop-down boxes (all other fields are locked).

Section A – CAB/Auditor data inputs (Table 1 below)

1. **Purpose:** to estimate the minimum number of workers to be interviewed.
2. **Applicability:** all types of UoC (single/multi-site/group), all types of audit (initial/surveillance/re-certification/re-audit), all types of operations (feed mills, farms, processors).
3. **Steps:**
 - 3.1 Answer questions in section A of the social risk calculator.
 - 3.2 Data must be entered in numerical order of the 9 questions (A1 – A9), noting that default entries in subsequent fields may be blanked contingent on earlier responses.
 - 3.3 Do not select or enter values in blank cells.
 - 3.4 In the case of drop-down text selections questions are always phrased such that selection of a 'No' or 'None' response will always reflect the lowest risk scenario.
 - 3.5 Prior to data entry: toggle drop-down fields on inputs A5a, A6a, A7a to expose all blank fields and ensure these are set to the lowest risk setting as the default prior to any data entry; i.e. select 'no' or 'none' for the revealed text fields and enter zeros for non-conformity counts from prior audits (A9).



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